



## Multiscale and Layer-Stripping Wave-Equation Dispersion Inversion of Rayleigh Waves

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# Multiscale and Layer-Stripping Wave-Equation Dispersion Inversion of Rayleigh Waves

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## SUMMARY

The iterative wave-equation dispersion inversion can suffer from the local minimum problem when inverting seismic data from complex Earth models. We develop a multiscale, layer-stripping method to alleviate the local minimum problem of wave-equation dispersion inversion of Rayleigh waves and improve the inversion robustness. We first invert the high-frequency and near-offset data for the shallow S-velocity model, and gradually incorporate the lower-frequency components of data with longer offsets to reconstruct the deeper regions of the model. We use a synthetic model to illustrate the local minima problem of wave-equation dispersion inversion and how our multiscale and layer-stripping wave-equation dispersion inversion method can mitigate the problem. We demonstrate the efficacy of our new method using field Rayleigh-wave data.

**Key words:** Layer-stripping, multiscale, surface wave, wave-equation dispersion inversion.

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## 16 **Introduction**

17 Wave-equation dispersion inversion (WD) of Rayleigh waves uses solutions to the 2D or 3D elastic-  
18 wave equation to invert the dispersion curves of surface waves for the S-velocity model (Li & Schuster  
19 2016; Li et al. 2016, 2017a,b,c; Liu et al. 2017, 2018a). The advantage of WD over the conventional  
20 dispersion inversion method (Haskell 1953; Xia et al. 1999, 2002; Park et al. 1999) is that WD does not  
21 assume a layered velocity model and is valid when there are strong lateral gradients in the S-velocity  
22 model. The WD method also enjoys robust convergence because the skeletonized data, namely the  
23 dispersion curves, are simpler than a trace with many dispersive arrivals. Such traces are used in full  
24 waveform inversion (FWI) (Groos et al. 2014; Pérez Solano et al. 2014; Dou & Ajo-Franklin 2014;  
25 Groos et al. 2017).

26 The iterative WD method can suffer from the local minimum problem when inverting seismic  
27 data from complex Earth models. One method to tackle this problem is the multiscale method (Ma-  
28 soni et al. 2016). For Body waves, the low-to-high frequency content of data is first used to update  
29 the large-scale velocity structure and then the more detailed features of the velocity model are re-  
30 constructed (Sirgue & Pratt 2004; Bunks et al. 1995). However, a high-to-low frequency strategy for  
31 surface waves is needed because the frequency content of surface waves is directly related to their  
32 penetration depth: higher-frequency and shorter-wavelength surface waves sample the top layers of  
33 a medium, while lower-frequency and longer-wavelength surface waves sample deeper subsurface  
34 regions (Masoni et al. 2016).

35 The WD method needs to determine the offset range (denoted as  $R$ ) starting from the near offset  
36 for retrieving the dispersion curves of the data using  $F$ - $K$  or Radon transforms. A narrow range of off-  
37 sets corresponding to a small  $R$  is not adequate for accurate retrieval of the low-frequency component  
38 of dispersion curves (indicated in Figs. 2.3-8 of Yilmaz (2015)), but can provide high lateral resolution  
39 in the tomographic image. Conversely, a wide range of offsets is adequate for accurately retrieving the  
40 low-frequency dispersion curves but the penalty is that it only provides a low-wavenumber estimate of  
41 the velocity model. As a rule of thumb, we choose  $R$  to be about three or four times greater than the  
42 depth of interest to make sure that WD has enough penetration depth and lateral resolution (Liu et al.  
43 2018a). However, a fixed value of  $R$  would result in a loss of either the low-frequency information  
44 in the dispersion curves or the lateral resolution of the inverted S-velocity model. Thus, an iterative  
45 small-to-large offset range strategy is needed to obtain both high lateral resolution and low-frequency  
46 information.

47 In this paper, we first use the high-frequency surface-wave data with a small-offset range to update  
48 the shallow velocity model, and then use the low-frequency surface-wave data with a large-offset range  
49 to update the deeper regions of the velocity model. We employ a layer-stripping method (Shi et al.  
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2015; Masoni et al. 2016) to reconstruct the velocity model from the shallow to deep regions. The layer-stripping method assumes that all layers above a given layer have been inverted using the near-offset and high-frequency surface-wave data. We use the far-offset and low-frequency data to invert for the velocity model of the deep layers. This procedure is repeated until the entire volume of interest is reconstructed.

After the introduction, we describe the theory of WD and the workflow for the layer-stripping approach. Numerical tests on synthetic and field surface-wave data are presented in the third section to demonstrate the improvement of the method, followed by the discussion and conclusions.

## Theory

The wave-equation dispersion inversion method inverts for the S-wave velocity model to minimize the dispersion objective function

$$\varepsilon = \frac{1}{2} \sum_{\omega} \sum_{\theta} \overbrace{[\kappa(\theta, \omega)_{pre} - \kappa(\theta, \omega)_{obs}]^2}^{residual = \Delta\kappa(\theta, \omega)}, \quad (1)$$

where  $\kappa(\omega, \theta)_{pre}$  represents the predicted dispersion curve picked from the simulated spectrum along the azimuth angle  $\theta$ , and  $\kappa(\omega, \theta)_{obs}$  describes the observed dispersion curve obtained from the recorded spectrum along the azimuth  $\theta$ . In the 2D case, the azimuth angles have only two values:  $0^\circ$  and  $180^\circ$ , corresponding to the left and right directions, respectively.

The gradient  $\gamma(\mathbf{x})$  of  $\varepsilon$  with respect to the S-wave velocity  $v_s(\mathbf{x})$  is given by Liu et al. (2018a,b):

$$\gamma(\mathbf{x}) = \frac{\partial \varepsilon}{\partial v_s(\mathbf{x})} = - \sum_{\omega} 4v_{s0}(\mathbf{x})\rho_0(\mathbf{x})\Re \left\{ \underbrace{\int \sum_{\theta} \frac{1}{A(\theta, \omega)} \Delta\kappa(\theta, \omega) \hat{D}(\mathbf{g}, \theta, \omega)_{obs}^* G_{3k,k}(\mathbf{g}|\mathbf{x}) d\mathbf{g}}_{backprojected\ data = B_{k,k}(\mathbf{x}, \omega)^*} \underbrace{D_{j,j}(\mathbf{x}, \omega)}_{source = f_{j,j}(\mathbf{x}, \omega)} - \frac{1}{2} \int \sum_{\theta} \frac{1}{A(\theta, \omega)} \Delta\kappa(\theta, \omega) \hat{D}(\mathbf{g}, \theta, \omega)_{obs}^* G_{3n,k}(\mathbf{g}|\mathbf{x}) d\mathbf{g}}_{backprojected\ data = B_{n,k}(\mathbf{x}, \omega)^*} \underbrace{[D_{k,n}(\mathbf{x}, \omega) + D_{n,k}(\mathbf{x}, \omega)]}_{source = f_{n,k}(\mathbf{x}, \omega)} \right\}, \quad (2)$$

where  $v_{s0}(\mathbf{x})$  and  $\rho_0(\mathbf{x})$  are the reference S-velocity and density at location  $\mathbf{x}$ , respectively.  $A(\theta, \omega)$  is given in Liu et al. (2018b).  $D_i(\mathbf{x}, \omega)$  denotes the  $i^{th}$  component of the particle velocity recorded at  $\mathbf{x}$  resulting from a vertical-component force. The Einstein notation is assumed in equation (2), where  $D_{i,j} = \frac{\partial D_i}{\partial x_j}$  for  $i, j \in \{1, 2, 3\}$ . The 3D harmonic Green's tensor  $G_{3j}(\mathbf{g}|\mathbf{x})$  is the particle velocity at location  $\mathbf{g}$  along the  $j^{th}$  direction resulting from a vertical-component source at  $\mathbf{x}$  in the reference medium. Term  $f_{i,j}(\mathbf{x}, \omega)$  for  $i$  and  $j \in \{1, 2, 3\}$  is the downgoing source field at  $\mathbf{x}$ , and  $B_{i,j}(\mathbf{x}, \mathbf{s}, \omega)$  for  $i$  and  $j \in \{1, 2, 3\}$  is the backprojected scattered field at  $\mathbf{x}$ .  $\hat{D}(\mathbf{g}, \theta, \omega)_{obs}^*$  is the

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5 weighted conjugated data function defined as

$$6 \hat{D}(\mathbf{g}, \theta, \omega)_{obs}^* = 2\pi i \mathbf{g} \cdot \mathbf{n} e^{i\mathbf{g} \cdot \mathbf{n} \Delta \kappa} \int_C D(\mathbf{g}', \omega)_{obs}^* d\mathbf{g}', \quad (3)$$

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10 63 where  $\mathbf{n} = (\cos \theta, \sin \theta)$  and  $C$  is the line  $(\mathbf{g}' - \mathbf{g}) \cdot \mathbf{n} = 0$ . The above equation indicates that  
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12 64 the gradient is computed using a weighted zero-lag correlation between the source and backward-  
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14 65 extrapolated receiver wavefields.

15 The optimal S-wave velocity model  $v_s(\mathbf{x})$  is obtained using the steepest-descent formula (Nocedal  
16 & Wright 2006)

$$17 v_s(\mathbf{x})^{(k+1)} = v_s(\mathbf{x})^{(k)} - \alpha \gamma(\mathbf{x}), \quad (4)$$

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21 66 where  $\alpha$  is the step length and the superscript  $(k)$  denotes the  $k^{th}$  iteration. We use a preconditioned  
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23 67 conjugate gradient method to update the S-wave velocity model.

24 In our multiscale, layer-stripping WD (MSLSWD), we use the high-frequency data with a small  
25 offset  $R$  to first update the shallow velocity model. Then we assume this shallow velocity model  
26 is known and use the low-frequency data with a large offset  $R$  to update the deeper regions of the  
27 velocity model. For a given frequency band, according to the dispersion curves, we estimate an average  
28 wavelength  
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$$32 \lambda = 1/\kappa,$$

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35 68 where  $\kappa$  is the average wavenumber. The penetration depth  $z$  is estimated as half of the wavelength  $\lambda$ ,  
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37 69 and the maximum offset  $R$  is estimated as three or four wavelengths.

## 38 39 40 70 **Workflow**

41  
42 71 The workflow for implementing our multiscale, layer-stripping WD method is summarized in the  
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44 72 following six steps.

- 45 73 1. Determine the frequency range of observed data. Divide the frequency range into several fre-  
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47 74 quency bands for each MSLSWD step.
- 48 75 2. Retrieve the dispersion curves from the whole common-shot gather (CSG) and estimate the  
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50 76 range of the average wavenumber  $k$  for each frequency band.
- 51 77 3. For a given frequency band, determine the maximum offset  $R$  according to the maximum  
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53 78 wavelength  $\lambda$  calculated from the range of  $k$  values and estimate the observed dispersion curves  
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55 79 from seismic traces within the maximum offset  $R$  for each CSG.
- 56 80 4. Calculate the gradient according to equation (2). Only the region within a depth window is  
57  
58 81 used to update the S-velocity model. The depth window can be estimated from half of the  
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60 82 wavelength range.

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- 83 5. Use the updated S-velocity model as the initial model to perform WD for the next frequency
- 84 band.
- 85 6. Repeat the last three steps for all frequency bands.

**Numerical Results**

87 We first use a synthetic model displayed in Fig. 1a to verify the effectiveness of MSLSWD. We modify  
88 the model from Pérez Solano et al. (2014) (Fig. 6d) that was also used by Masoni et al. (2016) (Fig. 4).  
89 We generate the observed and predicted data using an O(2,8) time-space-domain solution to the first-  
90 order 2D elastic-wave equation with a free-surface boundary condition (Graves 1996). MSLSWD  
91 inverts only the S-wave velocity model. We use the actual P-wave velocity model for modeling pre-  
92 dicted surface waves. The source wavelet is a Ricker wavelet with a center frequency of 40 Hz, which  
93 is assumed to be known during inversion. The P-wave velocity  $v_p$  is calculated from the S-wave ve-  
94 locity  $v_s$  using the relation  $v_p = 2v_s$ , with a homogeneous density model of 1000 kg/m<sup>3</sup>. We use the  
95 fundamental dispersion curves from each common-shot gather (CSG) for inversion along the azimuth  
96 angles of 0° (toward the right-hand side (RHS)) and 180° (toward the left-hand side (LHS)).

**Synthetic Model**

98 We generate a total of 40 CSGs for vertical sources located at  $z = 0.2$  m below the free surface with  
99 a spatial interval of 1.5 m. Each CSG has 150 vertical-component receivers at  $z = 0.2$  m below the  
100 surface with a spatial interval of 0.2 m. The initial S-velocity model used is a model with a linear  
101 gradient in depth (Fig. 1b).

102 We first study the influence of the receiver spread length  $R$  on the penetration depth and lateral  
103 resolution in the WD results. We perform the first numerical test by setting  $R$  to be 8 m. The resulting  
104 inverted S-velocity model is shown in Fig. 1c. We can see that the two high-velocity anomalies are  
105 separated clearly. The observed dispersion curves for all the CSGs along the azimuth angles of 0° and  
106 180° are shown in Figs. 2a and 2b respectively, where the black dashed lines, the magenta dash-dot  
107 lines and the red lines represent the contours of the observed, initial and inverted dispersion curves,  
108 respectively. The contours of the inverted dispersion curves correlate well with those of the observed  
109 data.

110 We carry out the second numerical test by increasing the offset  $R$  to 20 m. The resulting inverted  
111 S-velocity model is displayed in Fig. 1d. The contours of the observed, initial and inverted dispersion  
112 curves are shown in Figs. 2c and 2d. The contours of the inverted dispersion curves correlate well with  
113 the observed ones. However, the two high-velocity anomalies cannot be separated, indicating lower

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lateral resolution. Figs. 3a and 3b show the vertical-velocity profiles at  $X = 20$  m and  $X = 38$  m respectively for the true model (blue line), the initial model (black dash-dot line) and the inverted S-velocity models by setting  $R=8$  m (magenta line) and  $R = 20$  m (red line). It can be seen that even though the frequency content of the data used in WD inversion is the same, the inverted velocity tomogram with the maximum offset  $R = 20$  m is more accurately reconstructed in the deeper region than that when  $R = 8$  m. This suggests that more accurate dispersion curves for the low-frequency part can be retrieved by longer offsets.

With the same acquisition parameters as above, we conduct two additional numerical tests on the modified S-velocity model shown in Fig. 4a. We add a low-velocity zone in the shallow region and move the location of the high-velocity anomalies to a deeper depth. The initial model used in inversion is a linear velocity gradient displayed in Fig. 4b. We first apply single-scale WD to the data. Fig. 5 shows the contours of the observed, initial and predicted dispersion curves along the azimuth angles of  $\theta = 0^\circ$  and  $180^\circ$  for these two numerical tests. There is a poor mismatch between the contours of the inverted and observed dispersion curves, which indicates that the WD is stuck to a local minimum for the modified model. The inverted S-velocity tomograms with a maximum offset of  $R = 8$  m and  $R=20$  m are shown in Figs. 4c and 4d, respectively. The high-velocity anomalies are not detected in the inverted tomograms of these two numerical tests. Figs. 6a and 6b shows the vertical-velocity profiles at  $X = 20$  m and  $X = 38$  m, respectively, for the true (blue line), initial (black dash-dot line) and inverted S-velocity models when using  $R=8$  m (magenta line) and  $R=20$  m (red line). The vertical-velocity profiles show that WD incorrectly updates the low-velocity zones in the shallow region ( $z < 1$  m). This suggests that WD converges to a local minimum because of the shallow low-velocity zone.

We apply our multiscale, layer-stripping WD method to the same data as above to alleviate the local minimum problem. The frequency spectrum of the data is shown in Fig. 7, where eleven frequency bands are chosen and each of them is plotted as the horizontal bar with a number tag close to it. We select larger frequency windows for high-frequency bands because of the small amplitude of the frequency spectrum of surface wave data. The ranges of the eleven frequency bands and the wavelength range corresponding to each frequency band used in MSLSWD are listed in Table 1. The maximum offset  $R$  is calculated using

$$R \approx 3.5 * \lambda_{max} \quad (5)$$

where  $\lambda_{max}$  is the maximum wavelength. The misfit-change column shows the normalized misfit change after 10 iterations. The updated depth window for each frequency band is determined using half of the wavelength. A taper is used at the top and bottom boundaries of a depth window as shown in Fig. 8. The updated velocity models for all eleven steps are shown in Figs. 9 and 10, where the black

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6 139 dashed lines indicate the location of the high-velocity anomalies. We can see that the deeper region  
7 140 of the model is gradually updated step by step. The contours of the observed, initial and inverted  
8 141 dispersion curves for each step are shown in Figs. 11 and 12. The contours of the inverted dispersion  
9 142 curves correlate well with the observed ones for each step. The vertical-velocity profiles at  $X = 20$  m  
10 143 and  $X = 38$  m extracted from the inverted tomogram with MSLSWD (red lines) are shown in Fig. 13.  
11 144 They show better agreement with the true ones (blue lines) than those extracted from the tomogram  
12 145 without layer stripping (magenta lines). The results demonstrate that MSLSWD can mitigate the local  
13 146 minimum problem of WD for this model caused by the low-velocity layer in the shallow region.  
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**147 Surface Seismic Data from the Blue Mountain Geothermal Field**

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22 148 Seven 2D lines of surface seismic data were acquired at the Blue Mountain geothermal field in Nevada,  
23 149 USA, using dynamite sources. We use one 2D line of data for our study. The line consists of 121 re-  
24 150 ceivers with an interval of 33.5 m, and 57 dynamite sources with an interval of 67 m. One of the CSGs  
25 151 is shown in Fig. 14a, which clearly shows three modes of surface waves. These three modes are also  
26 152 shown in the dispersion images (Fig. 14b) calculated using the frequency-sweeping method (Park et al.  
27 153 1998) with the maximum offset  $R = 500$  m. We pick only the dispersion curves of the fundamental-  
28 154 mode surface waves (Fig. 14c). The observed dispersion curves for all CSGs are shown in Fig. 15,  
29 155 where the black dashed lines indicate the contours of the observed dispersion curves. The initial S-  
30 156 velocity model is shown in Fig. 16a, and the S-velocity tomogram obtained using the conventional  
31 157 WD is displayed in Fig. 16b. The contours of the initial and predicted dispersion curves for all CSGs  
32 158 are plotted in Fig. 15 using the cyan and red dash-dot solid lines, respectively. It shows that only the  
33 159 dispersion contours from the high-frequency components and the CSGs NO. 1-30 match well, which  
34 160 indicates that WD is stuck to a local minimum. To alleviate the local minima problem, we apply our  
35 161 multiscale and layer-stripping WD method to the data.  
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45 162 We use three frequency bands for MSLSWD: (a) 7-10 Hz, (b) 5-8 Hz and (c) 2-6 Hz. The corre-  
46 163 sponding depth windows are 0-45 m, 45-100 m and 100-250 m. The initial S-velocity model, gradient  
47 164 update and the inverted model for each step are shown in Figs. 17, 18 and 19. The comparison of the  
48 165 S-velocity tomograms without and with using the layer stripping approach are shown in Figs. 20a and  
49 166 20b. It can be seen that the deeper regions are significantly updated using layer-stripping WD.  
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53 167 The predicted dispersion contours obtained with and without the layer stripping approach are  
54 168 displayed in Fig. 21, where the black dashed lines, the cyan lines and the red dash-dot lines repre-  
55 169 sent the contours of the observed dispersion curves, the predicted dispersion curves without and with  
56 170 layer stripping, respectively. The dispersion contours calculated using layer stripping WD for the low-  
57 171 frequency components and the CSGs No.31-56 correlate better with the observed ones compared to  
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6 172 those calculated using the conventional WD. The S-velocity tomogram is also consistent with the  
7 173 P-wave tomogram shown in Fig. 20c.

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9 174 To further test the accuracy of the layer-stripping WD method. Fig.22 shows the comparison  
10 175 between the observed (red) and synthetic (blue) traces from the S-velocity tomogram without (LHS  
11 176 panels) and with (RHS panels) layer-stripping methods for CSG No. 20 in (a) and (b), and CSG No. 30  
12 177 in (c) and (d). We use a matched filter to reshape the synthetic waveform. The predicted fundamental-  
13 178 mode surface waves closely match the observed ones. Figs.23 and 24 show the common offset gathers  
14 179 (COGs) with offsets of 335 m and 670 m from the S-velocity tomogram (a) without and (b) with  
15 180 layer-stripping methods, respectively. The blue and red wiggles represent the observed and predicted  
16 181 COGs, respectively. The synthetic COGs computed from the S-velocity tomogram inverted using the  
17 182 MSLSWD more closely agree with the observed ones compared with to those inverted with WD  
18 183 without layer stripping.

## 184 **Discussion**

185 The lateral resolution of the WD tomogram is related to the length of the receiver spread. Different  
186 186 receiver-spread lengths lead to different lateral-resolution limits of the retrieved dispersion curves (Mi  
187 187 et al. 2017; Bergamo et al. 2012). A wide receiver-spread for a specific azimuth angle can lead to poor  
188 188 lateral resolution along the azimuth angle of the gradient, but can provide a deep penetration depth  
189 189 (Foti et al. 2014). Our results of synthetic surface seismic data demonstrate that layer-stripping wave-  
190 190 equation dispersion inversion can provide better depth penetration and higher lateral resolution than  
191 191 the conventional wave-equation dispersion inversion without layer stripping.

192 In our field data example, the interval of the geophones is so large that only few geophones are  
193 193 involved when inverting the high-frequency-band data if we set the offset according to the maximum  
194 194 wavelength (equation 5). It is hard to pick the dispersion curves because of low signal-to-noise ratio  
195 195 when using only a few geophones. Thus, we use the same receiver-spread length (500 m) for all fre-  
196 196 quency bands, which is approximately three times the length of the wavelength of the lowest frequency  
197 197 data (3 Hz). It will decrease the lateral resolution of the shallow part of the tomogram.

198 We assume that the effects of attenuation and topography on dispersion curves are insignificant  
199 199 in our field data test. However, if the attenuation and topography is important, the effects can be  
200 200 accounted for by solving the visco-elastic wave equation with an irregular free surface to compute the  
201 201 theoretical dispersion curves and perform the inversion (Li et al. 2017a,b,c).

202 We use only the fundamental-mode Rayleigh waves for MSLSWD inversion. Nevertheless, the  
203 203 higher-mode Rayleigh wave data with the same wavelength can have deeper penetration depth, and  
204 204 higher-mode data can increase the resolution of the S-velocity tomogram (Xia et al. 2003). Rather than

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205 inverting only the fundamental-mode surface waves, our multiscale and layer-stripping WD method  
206 can be extended to invert both fundamental- and higher-mode surface waves.

207 The main limits for layer stripping WD is to determine the accurate relationship between the  
208 frequency bands and the depth windows. When there are strong lateral gradients in the S-velocity  
209 model, the penetration depth of different shot gathers can have a dramatic lateral variation for the  
210 same frequency bands. In this case, it is inappropriate to use the same depth windows for all the shot  
211 gathers. The depth windows can be designed according to the sensitivity kernels, because they can  
212 provide a good estimation of the penetration depth (Masoni et al. 2016).

**213 Conclusions**

214 We have developed a new multiscale and layer-stripping wave-equation dispersion inversion method  
215 for Rayleigh waves. In this method, the high-frequency and near-offset data are first used to invert for  
216 the shallow S-velocity model, and the lower-frequency data with longer offsets are gradually incor-  
217 porated to invert for the deeper regions of the model. Numerical results of both synthetic and field  
218 seismic data demonstrate that the wave-equation dispersion inversion can suffer from the local min-  
219 ima problem when inverting data from a complex earth model, and our multiscale and layer-stripping  
220 wave-equation dispersion inversion method can mitigate the local minima problem and enhance con-  
221 vergence to the global minimum.

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285 ration Geophysicists.

**LIST OF FIGURES**

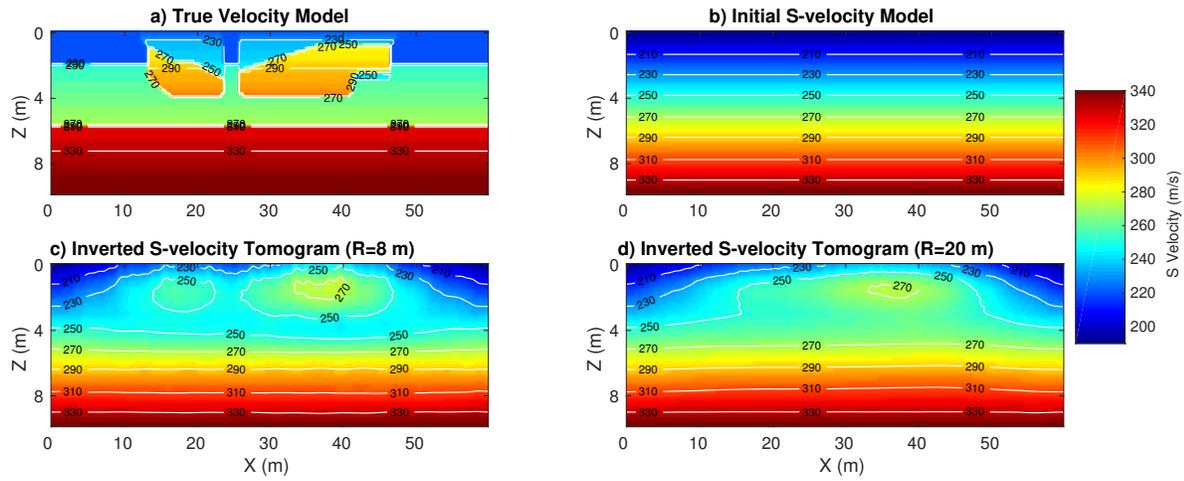
- 1 True (a) and initial (b) S-velocity models together with the S-velocity tomograms obtained using WD with maximum offsets of (c)  $R = 8$  m and (d)  $R = 20$  m.
- 2 Observed dispersion curves for (a) azimuth angle  $\theta = 0^\circ$  with the maximum offset  $R = 8$  m, (b)  $\theta = 180^\circ$  with  $R = 8$  m, (c)  $\theta = 0^\circ$  with  $R = 20$  m, and (d)  $\theta = 180^\circ$  with  $R = 20$  m, where the black dashed lines, the magenta dash-dot lines and the red lines represent the contours of the observed, initial and inverted dispersion curves, respectively.
- 3 Vertical-velocity profiles at (a)  $X = 20$  m and (b)  $X = 38$  m for the true model (blue line), the initial model (black dash-dot line) and the inverted S-velocity tomograms when  $R=8$  m (magenta line) and  $R=20$  m (red line) shown in Fig. 1.
- 4 True (a) and initial (b) S-velocity models together with the S-velocity tomograms obtained using WD with maximum offsets of (c)  $R = 8$  m and (d)  $R = 20$  m. The high-velocity anomalies in (a) are 2 m deeper than the one shown in Fig. 1a.
- 5 Observed dispersion curves for (a) azimuth angle  $\theta = 0^\circ$  with the maximum offset  $R = 8$  m, (b)  $\theta = 180^\circ$  with  $R = 8$  m, (c)  $\theta = 0^\circ$  with  $R = 20$  m, and (d)  $\theta = 180^\circ$  with  $R = 20$  m. The black dashed, magenta dash-dot and red lines represent the contours of the observed, initial and inverted dispersion curves, respectively.
- 6 Vertical-velocity profiles at (a)  $X = 20$  m and (b)  $X = 38$  m for the true model (blue line), the initial model (black dash-dot line) and the S-velocity tomograms by setting  $R=8$  m (magenta line) and  $R=20$  m (red line) shown in Fig. 4.
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- 8 Depth windows for frequency bands 9 (blue solid line) and 10 (red dashed line).
- 9 S-velocity tomograms for Steps 1 to 6 (Table 1).
- 10 (a)-(e): The S-velocity tomograms for Steps 7 to 11 (Table 1). (f): The true S-velocity model.
- 11 Observed dispersion curves (azimuth angle  $\theta = 0^\circ$ ) for Steps 1 to 6 listed in Table 1, where the black dashed, magenta dash-dot and red lines represent the contours of the observed, initial and inverted dispersion curves, respectively.
- 12 Same as Fig. 11 except we use steps 7-11.
- 13 Vertical-velocity profiles at (a)  $X = 20$  m and (b)  $X = 38$  m for the true model (blue lines), the initial model (black lines), the inverted tomograms with (red lines) and without (magenta lines) layer stripping.
- 14 (a) First CSG, (b) its dispersion image with the maximum offset  $R=500$  m, and (c) the picked dispersion curve for the fundamental-mode surface waves.
- 15 Observed dispersion curves along the azimuth angles of (a)  $\theta = 0^\circ$  and (b)  $\theta = 0^\circ$ , where the black dashed, cyan and red dash-dot lines represent the contours of the observed, initial and inverted dispersion curves, respectively.
- 16 (a) The initial S-velocity Model; (b) the inverted S-velocity tomogram by WD.
- 17 (a) The initial S-velocity model; (b) the gradient update for the first frequency band; (c) the inverted S-velocity model for the first frequency band.
- 18 (a)Initial S-velocity model inverted using the first frequency band (Fig. 17); (b) the gradient update for the second frequency band; (c) S-velocity tomogram obtained using the second frequency band.
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- 22 Comparison between the observed (red) and synthetic (blue) traces from the S-velocity tomogram without (LHS panels) and with (RHS panels) layer-stripping methods for CSG for shot No. 20 in (a) and (b), and CSG for shot No. 30 in (c) and (d).

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<sup>343</sup> 23 Comparison between the observed (blue) and synthetic (red) common-offset gathers  
<sup>344</sup> (COGs) with the offset of 335 m from the S-velocity tomogram without (a) and with (b)  
<sup>345</sup> layer-stripping method.  
<sup>346</sup> 24 Same as Fig. 23 except the offset is 670 m.

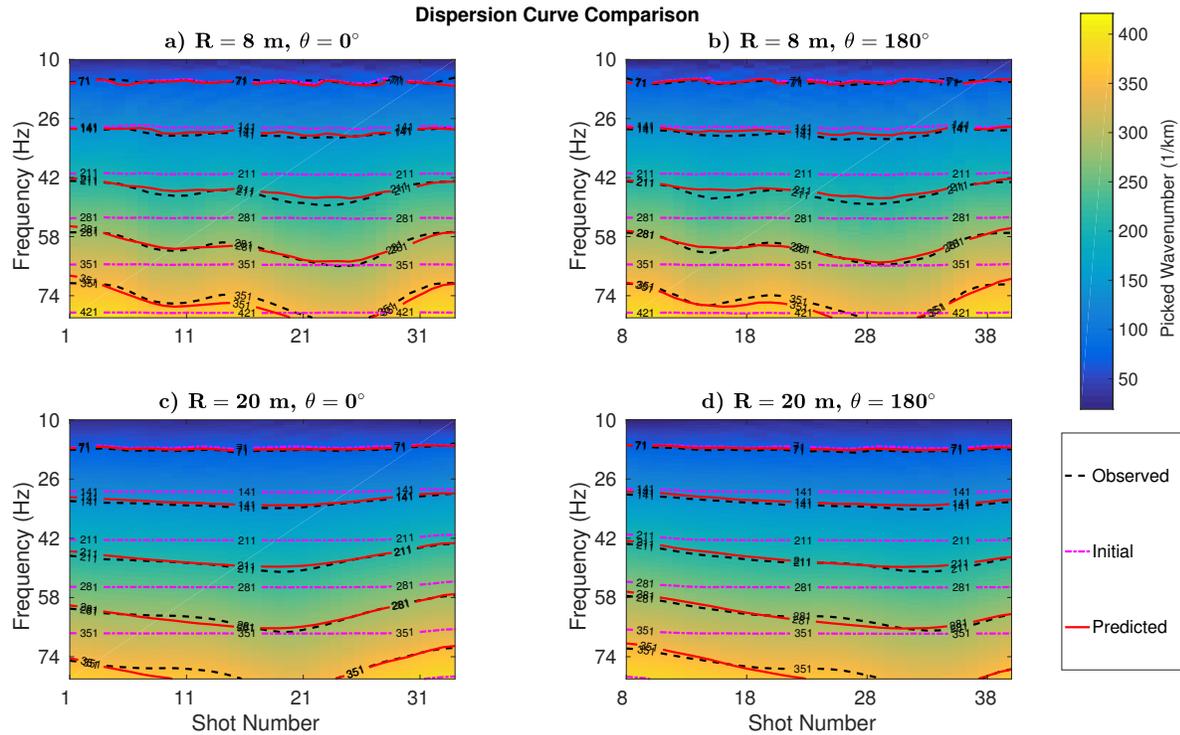
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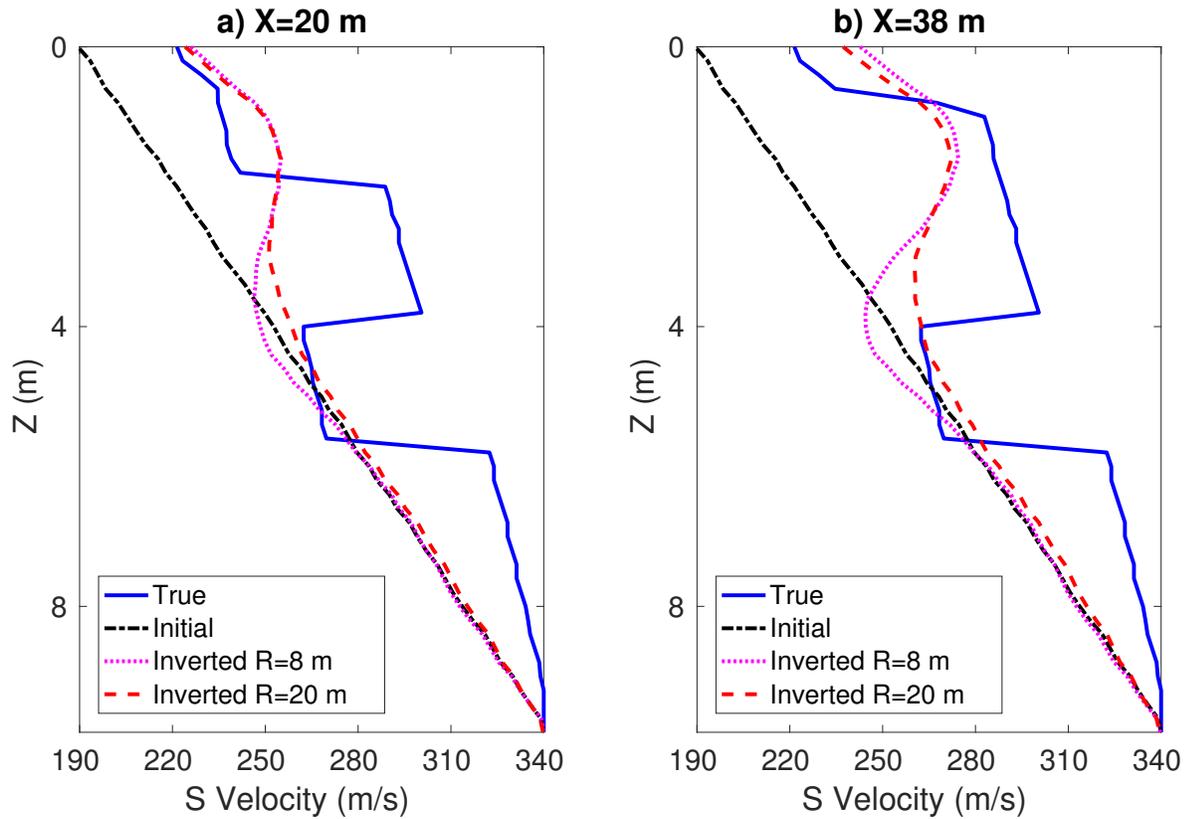
**Figure 1.** True (a) and initial (b) S-velocity models together with the S-velocity tomograms obtained using WD with maximum offsets of (c)  $R = 8$  m and (d)  $R = 20$  m.

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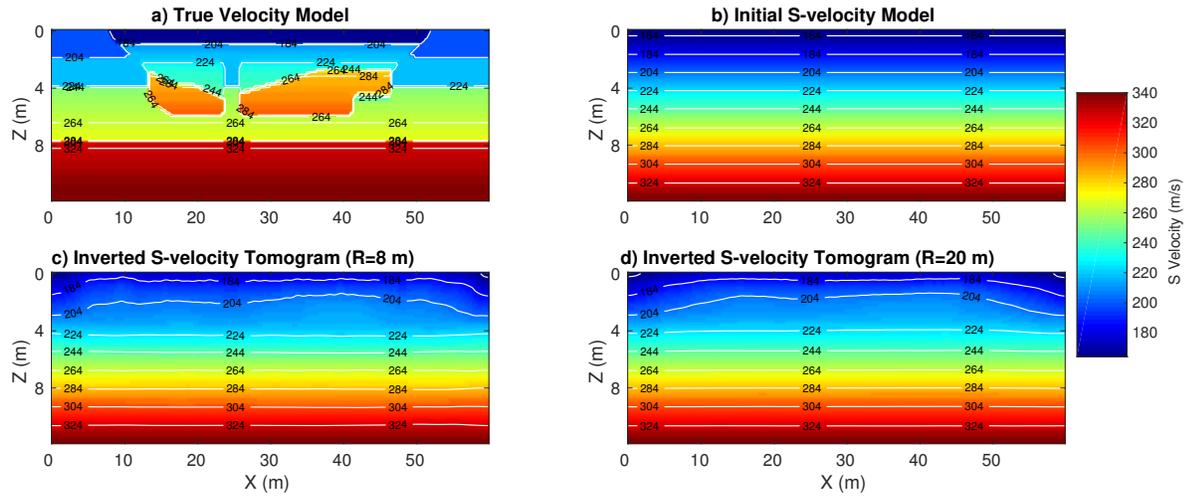


**Figure 2.** Observed dispersion curves for (a) azimuth angle  $\theta = 0^\circ$  with the maximum offset  $R = 8$  m, (b)  $\theta = 180^\circ$  with  $R = 8$  m, (c)  $\theta = 0^\circ$  with  $R = 20$  m, and (d)  $\theta = 180^\circ$  with  $R = 20$  m, where the black dashed lines, the magenta dash-dot lines and the red lines represent the contours of the observed, initial and inverted dispersion curves, respectively.

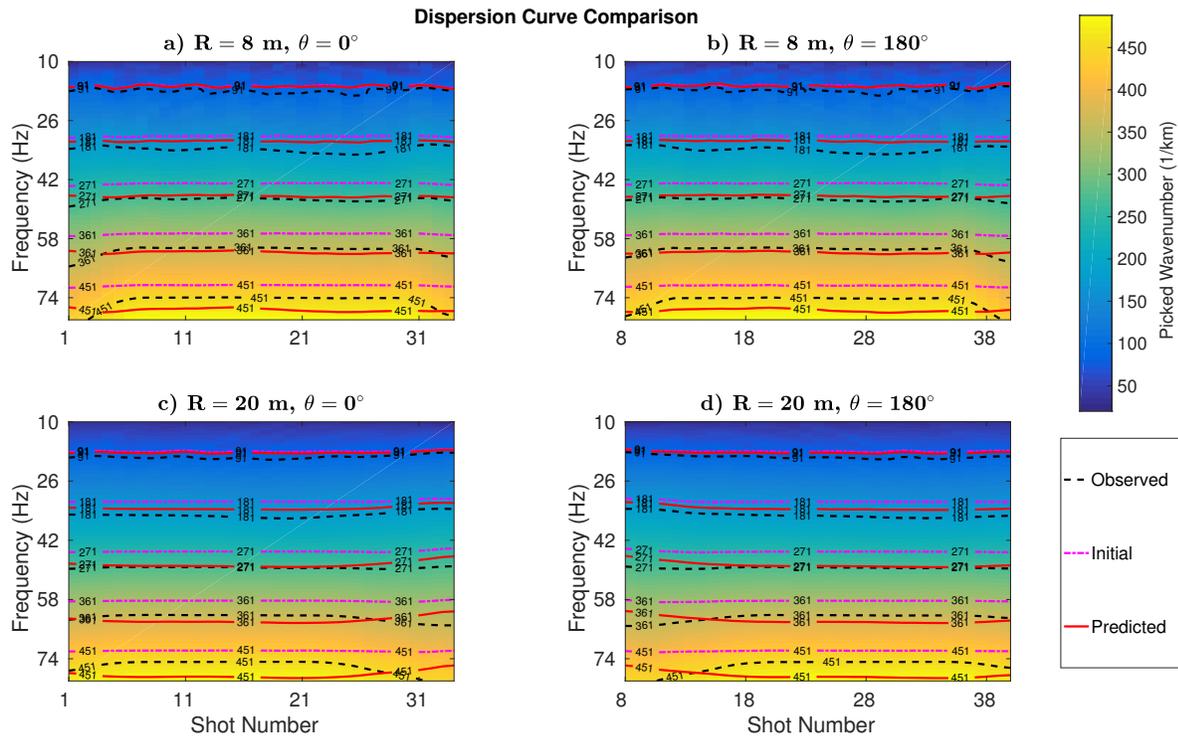
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**Figure 3.** Vertical-velocity profiles at (a)  $X = 20$  m and (b)  $X = 38$  m for the true model (blue line), the initial model (black dash-dot line) and the inverted S-velocity tomograms when  $R=8$  m (magenta line) and  $R=20$  m (red line) shown in Fig. 1.

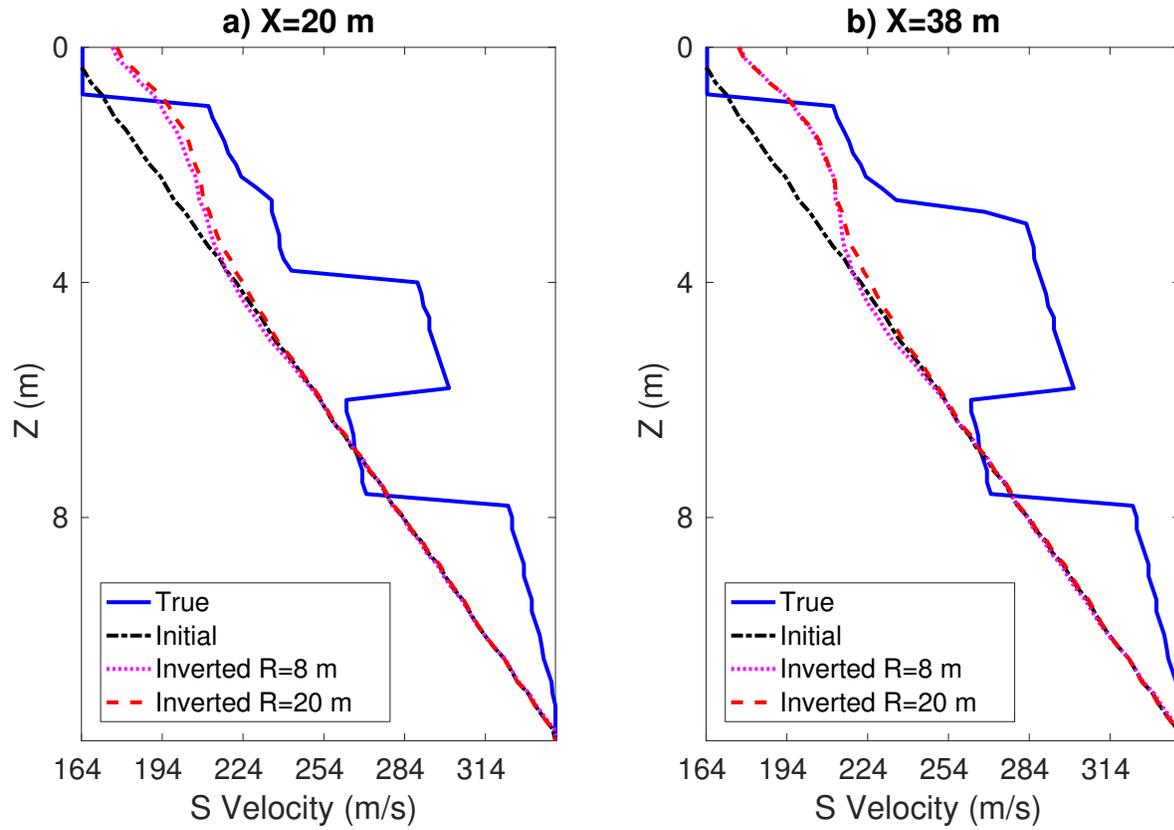
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**Figure 4.** True (a) and initial (b) S-velocity models together with the S-velocity tomograms obtained using WD with maximum offsets of (c)  $R = 8$  m and (d)  $R = 20$  m. The high-velocity anomalies in (a) are 2 m deeper than the one shown in Fig. 1a.

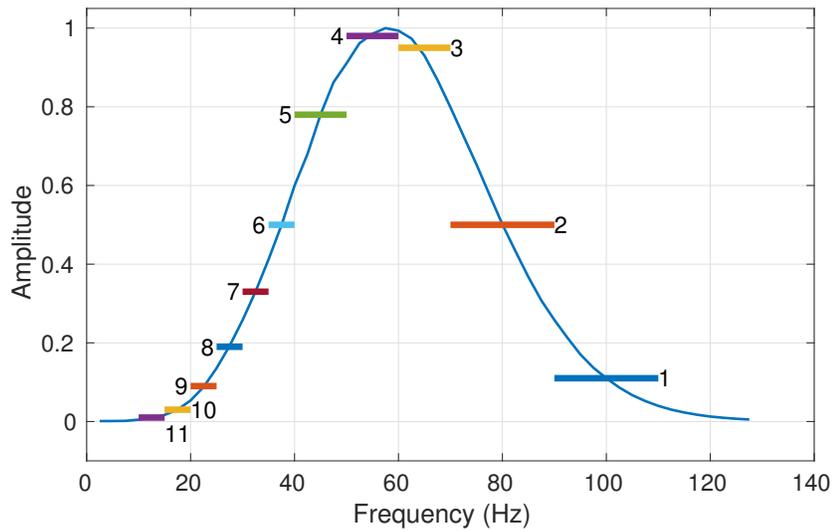
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**Figure 5.** Observed dispersion curves for (a) azimuth angle  $\theta = 0^\circ$  with the maximum offset  $R = 8$  m, (b)  $\theta = 180^\circ$  with  $R = 8$  m, (c)  $\theta = 0^\circ$  with  $R = 20$  m, and (d)  $\theta = 180^\circ$  with  $R = 20$  m. The black dashed, magenta dash-dot and red lines represent the contours of the observed, initial and inverted dispersion curves, respectively.



**Figure 6.** Vertical-velocity profiles at (a)  $X = 20$  m and (b)  $X = 38$  m for the true model (blue line), the initial model (black dash-dot line) and the S-velocity tomograms by setting  $R=8$  m (magenta line) and  $R=20$  m (red line) shown in Fig. 4.

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**Figure 7.** Frequency spectrum of the observed data, which are divided into eleven frequency bands. The frequency bands are plotted as horizontal bars with their corresponding number tags.

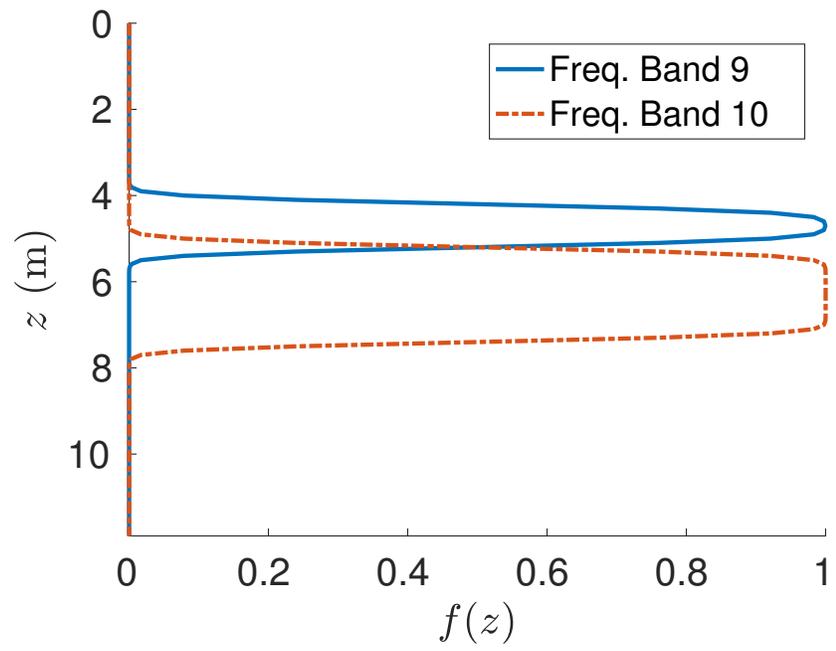


Figure 8. Depth windows for frequency bands 9 (blue solid line) and 10 (red dashed line).

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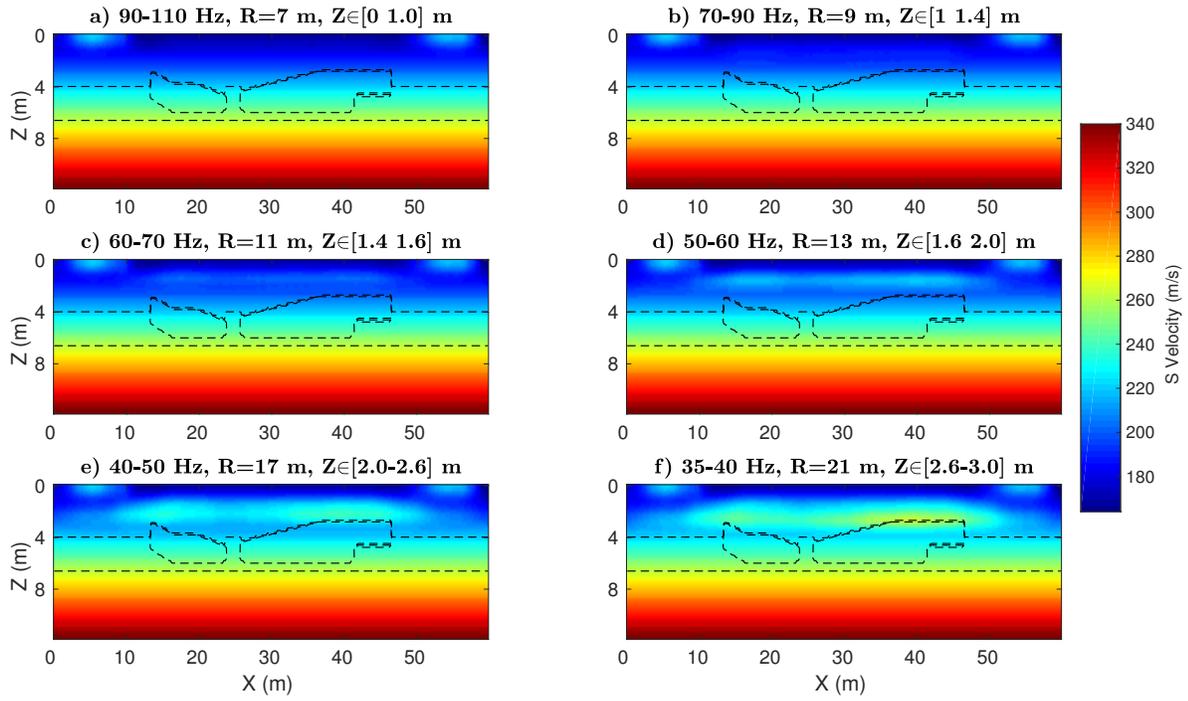
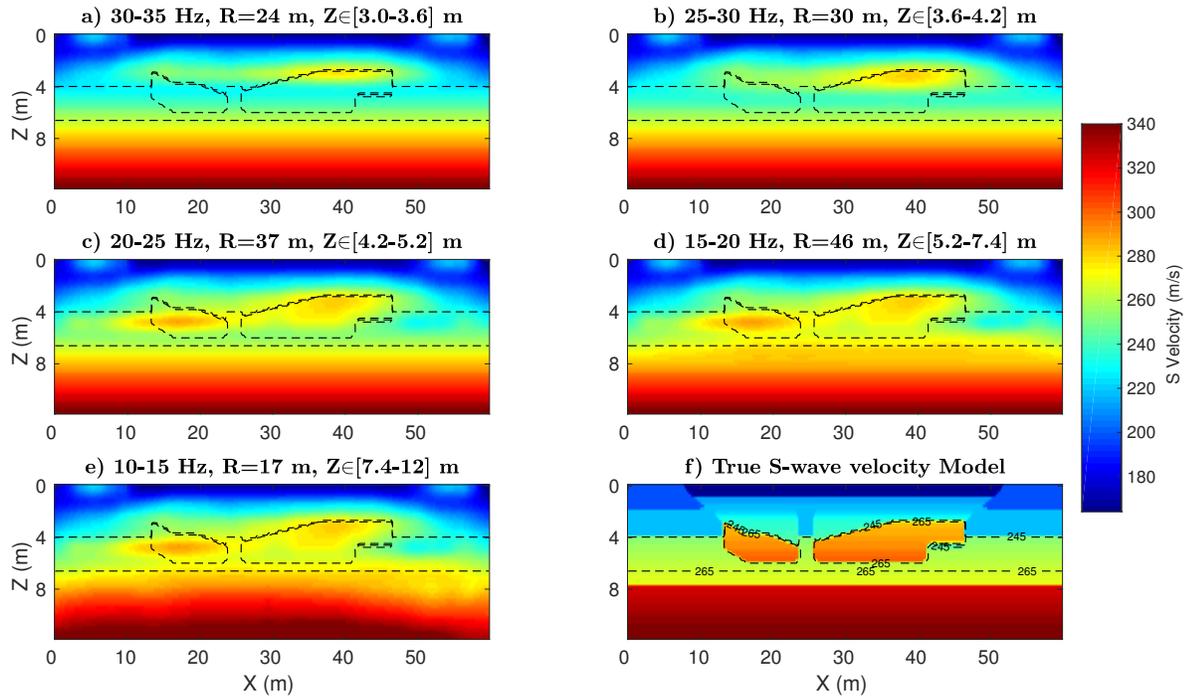
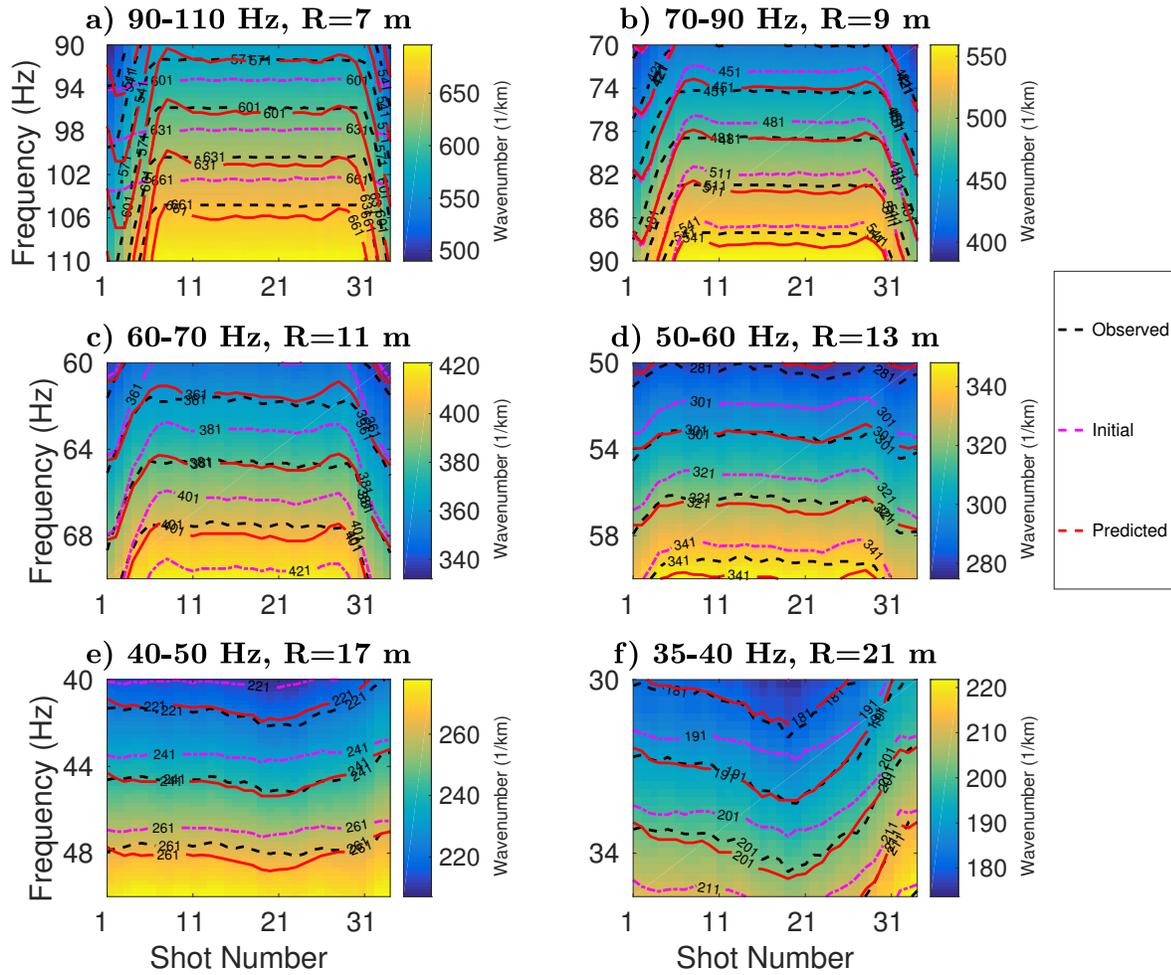


Figure 9. S-velocity tomograms for Steps 1 to 6 (Table 1).



**Figure 10.** (a)-(e): The S-velocity tomograms for Steps 7 to 11 (Table 1). (f): The true S-velocity model.

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**Figure 11.** Observed dispersion curves (azimuth angle  $\theta = 0^\circ$ ) for Steps 1 to 6 listed in Table 1, where the black dashed, magenta dash-dot and red lines represent the contours of the observed, initial and inverted dispersion curves, respectively.

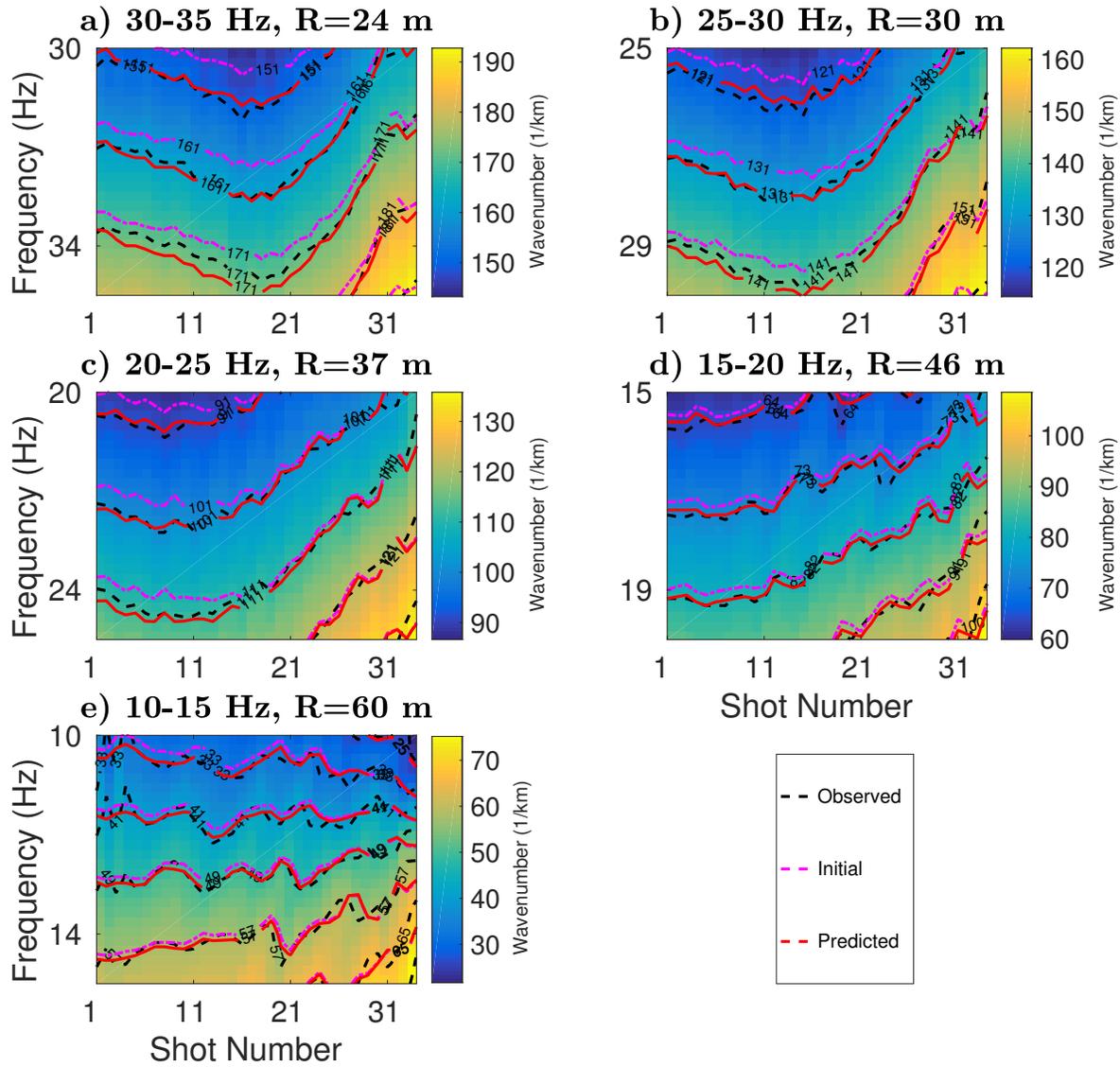
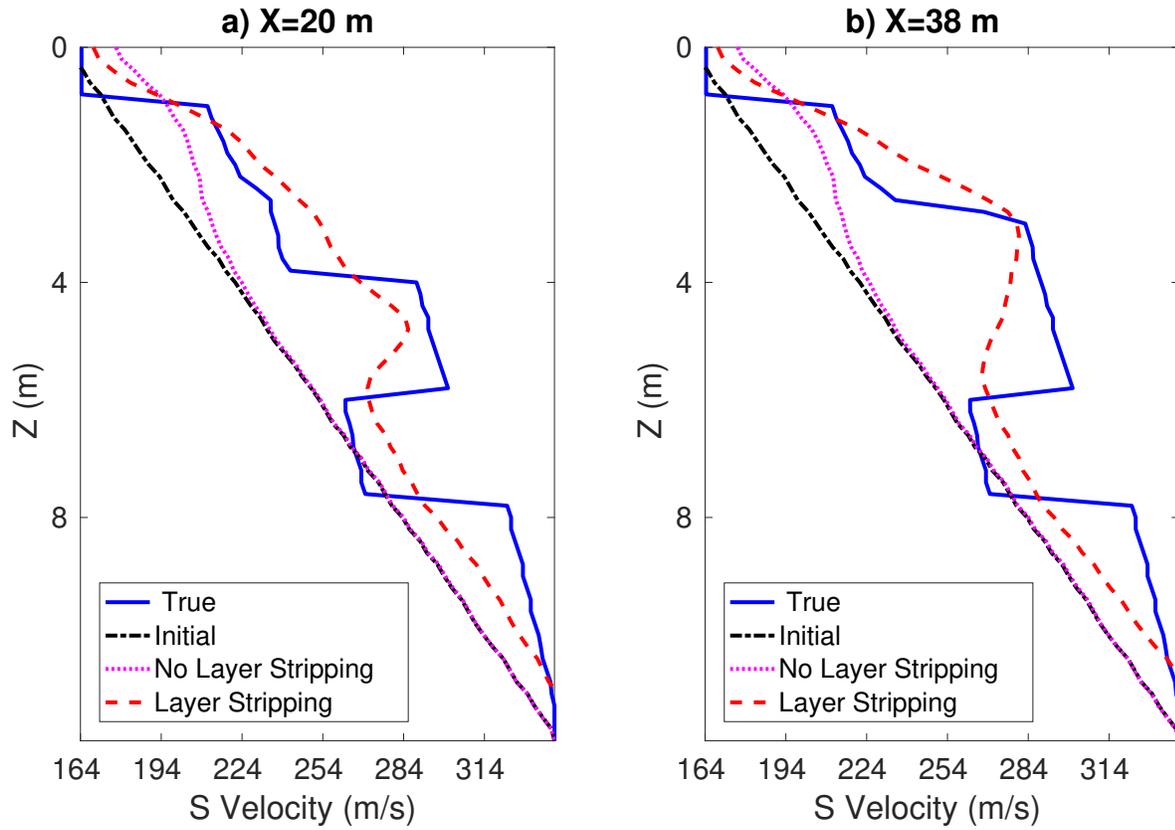
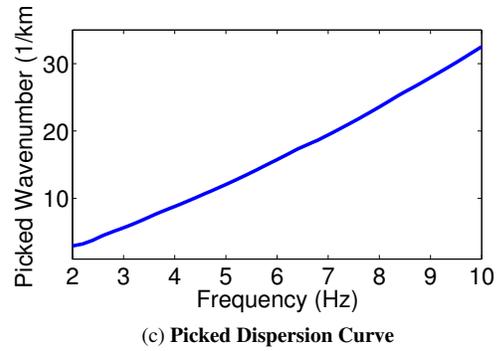
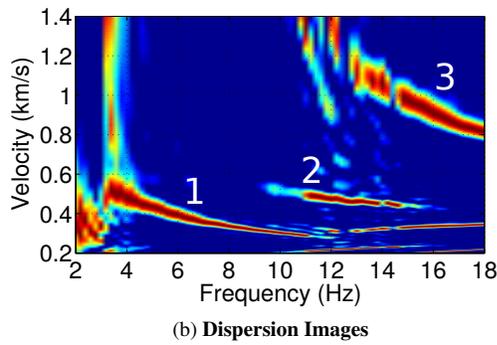
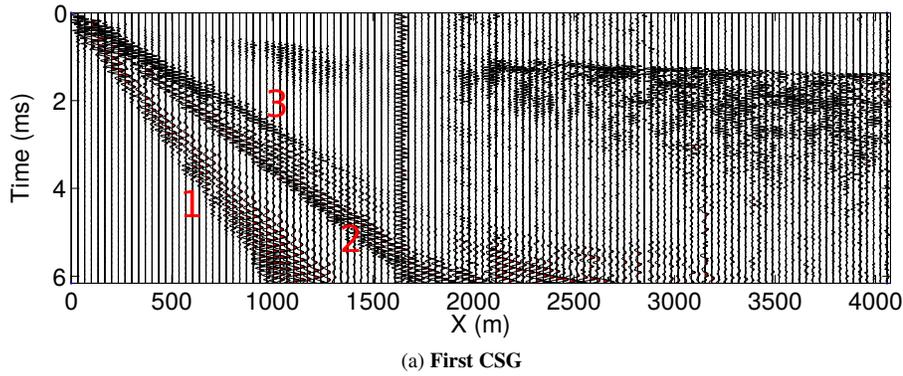


Figure 12. Same as Fig. 11 except we use steps 7-11.

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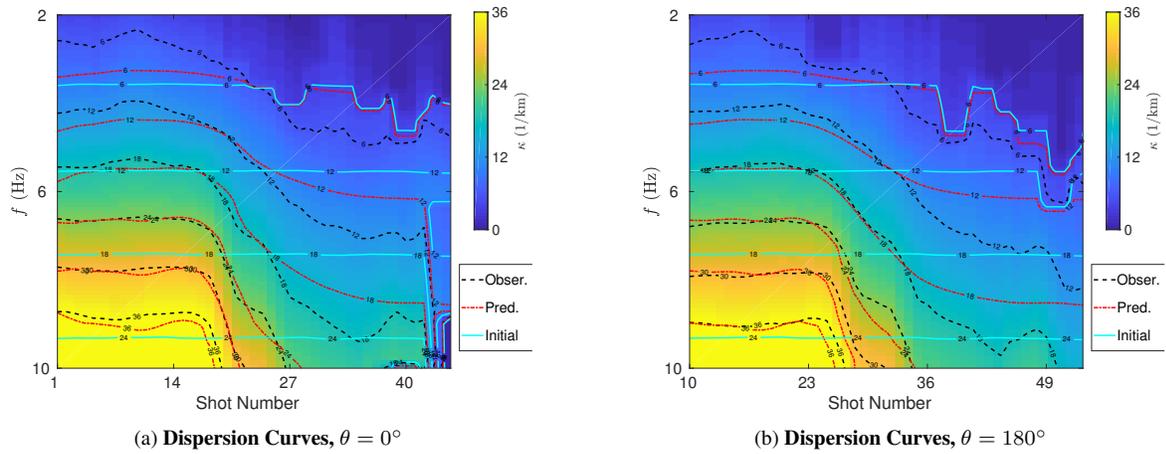


**Figure 13.** Vertical-velocity profiles at (a)  $X = 20$  m and (b)  $X = 38$  m for the true model (blue lines), the initial model (black lines), the inverted tomograms with (red lines) and without (magenta lines) layer stripping.



**Figure 14.** (a) First CSG, (b) its dispersion image with the maximum offset  $R=500$  m, and (c) the picked dispersion curve for the fundamental-mode surface waves.

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**Figure 15.** Observed dispersion curves along the azimuth angles of (a)  $\theta = 0^\circ$  and (b)  $\theta = 180^\circ$ , where the black dashed, cyan and red dash-dot lines represent the contours of the observed, initial and inverted dispersion curves, respectively.

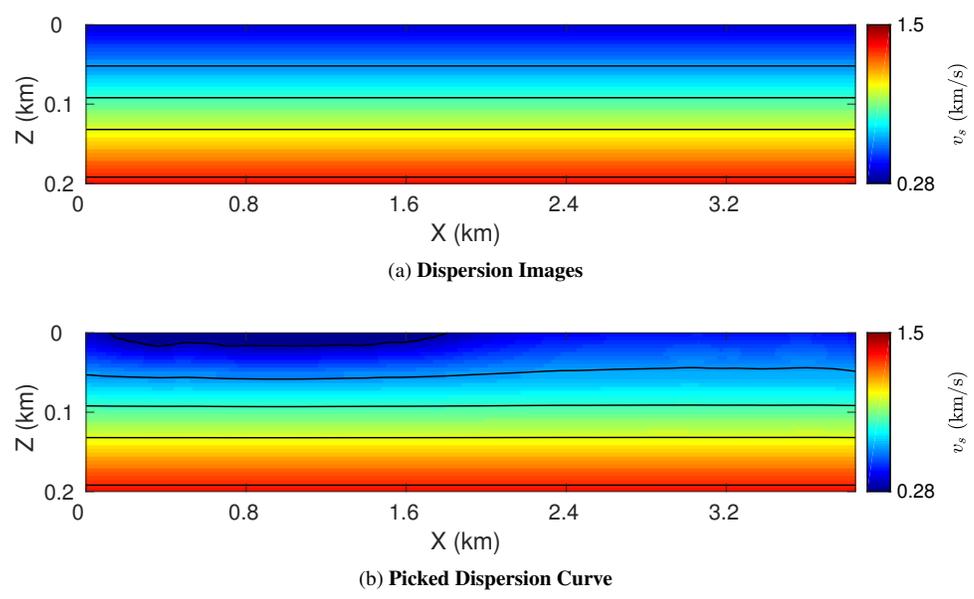
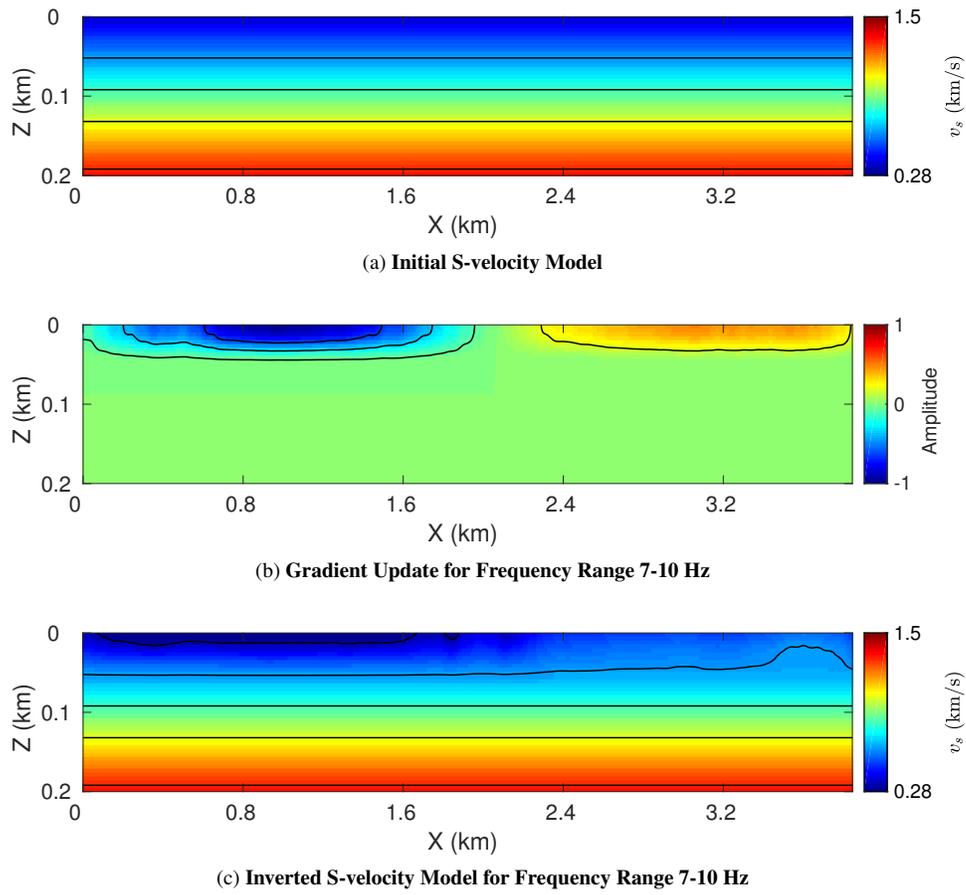


Figure 16. (a) The initial S-velocity Model; (b) the inverted S-velocity tomogram by WD.

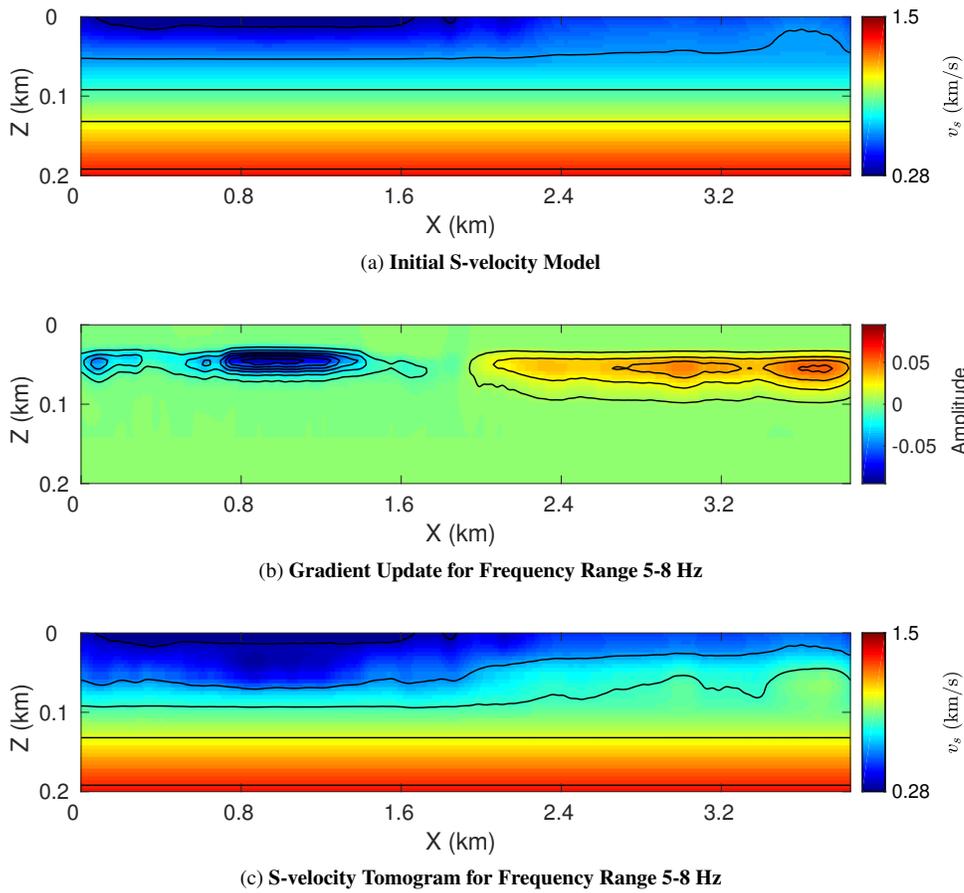
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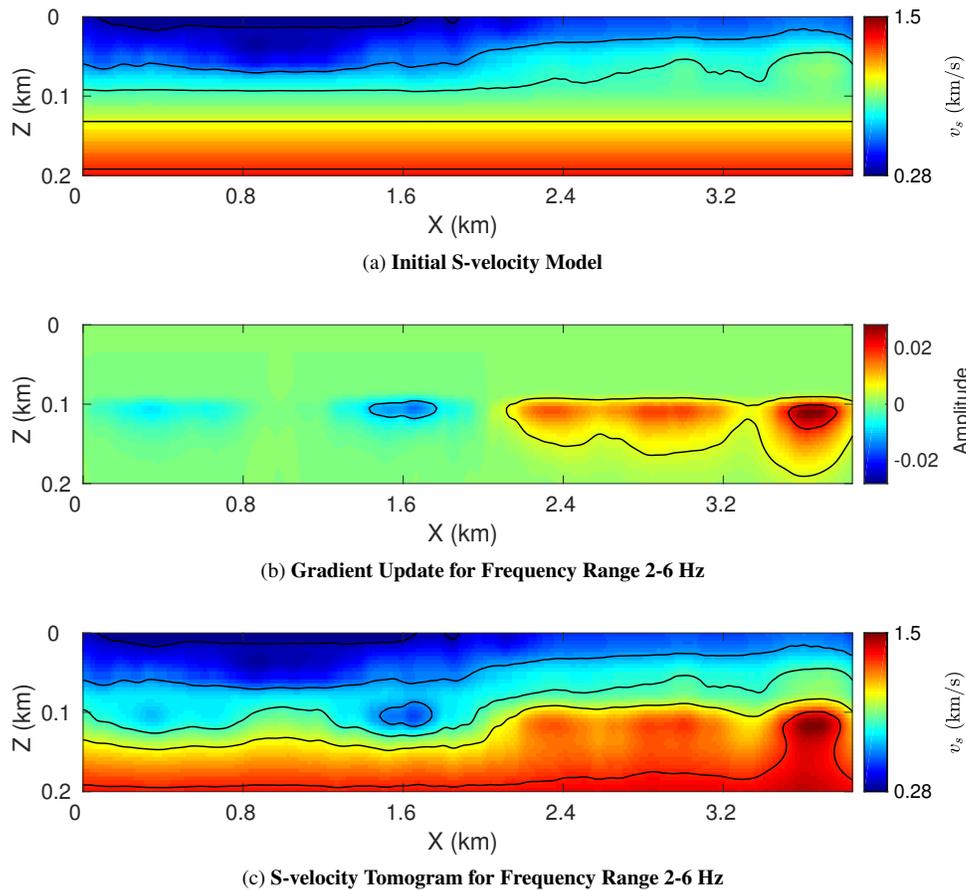
**Figure 17.** (a) The initial S-velocity model; (b) the gradient update for the first frequency band; (c) the inverted S-velocity model for the first frequency band.

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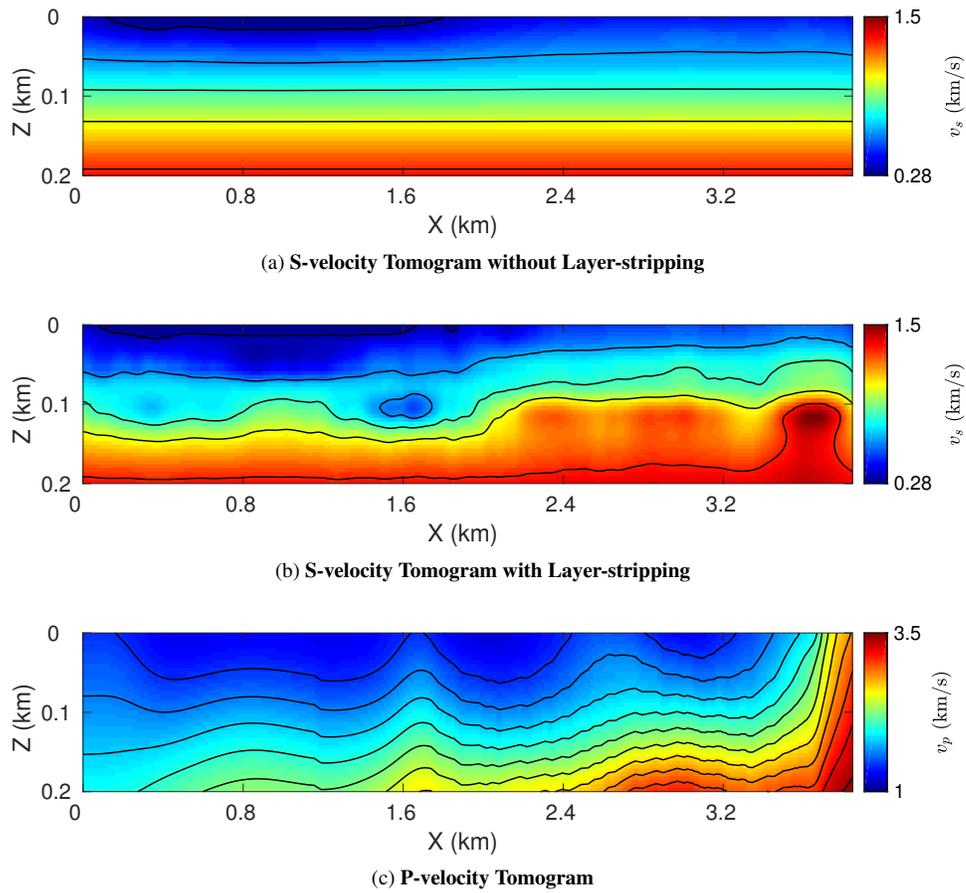


**Figure 18.** (a)Initial S-velocity model inverted using the first frequency band (Fig. 17); (b) the gradient update for the second frequency band; (c) S-velocity tomogram obtained using the second frequency band.

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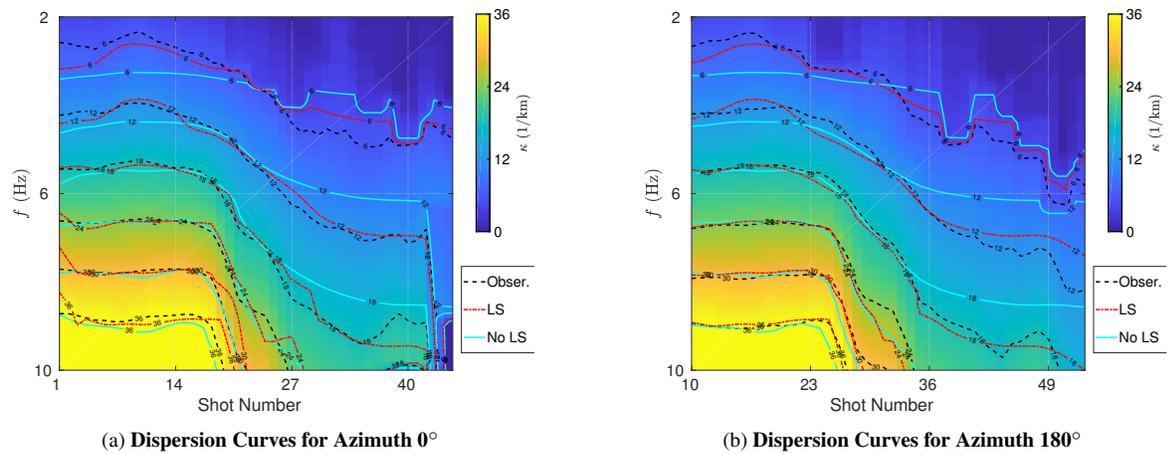


**Figure 19.** (a) Initial S-velocity tomogram inverted using the second frequency band (Fig. 18); (b) the gradient update for the third frequency band; (c) the inverted S-velocity model obtained using the third frequency band.

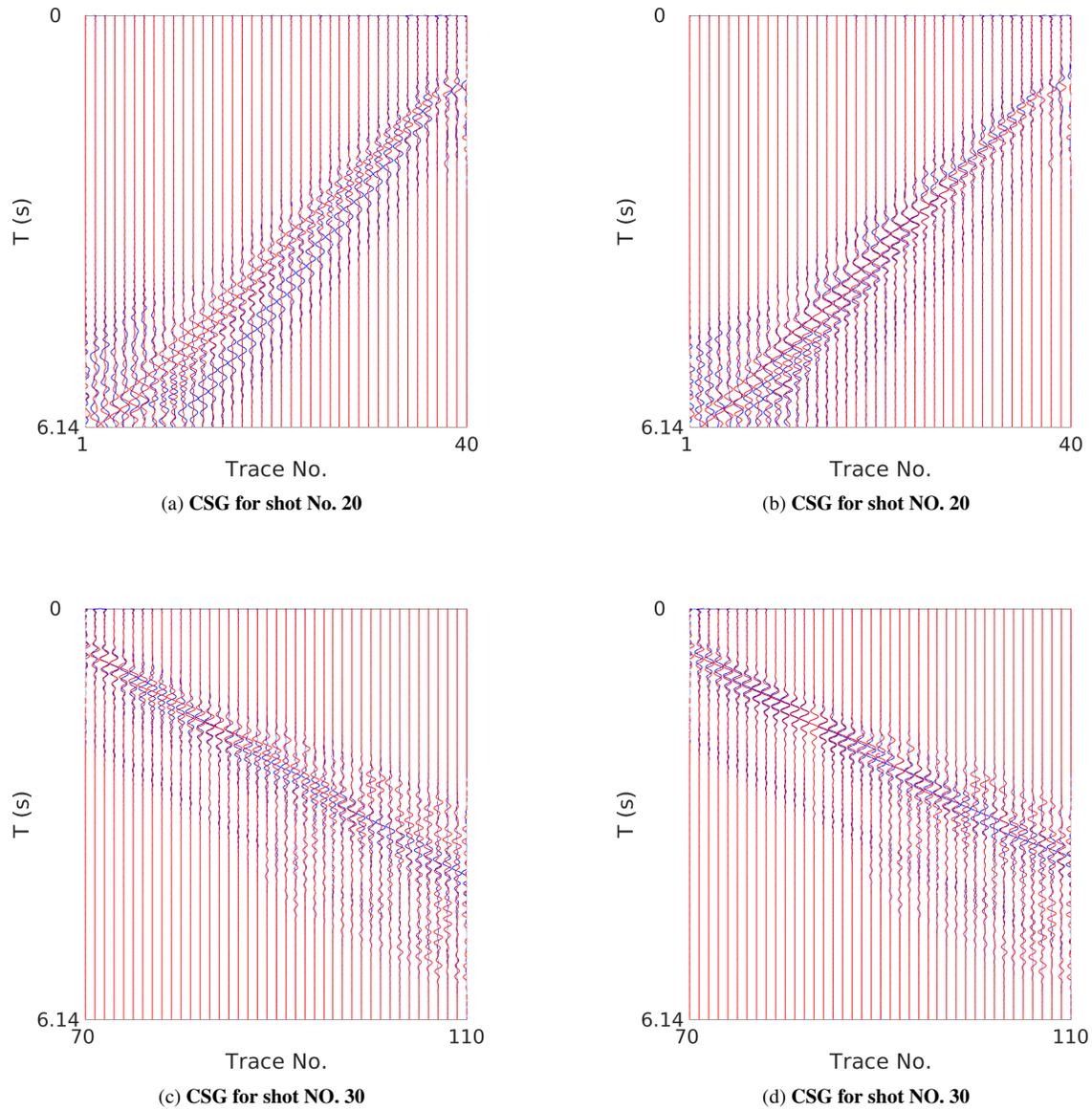


**Figure 20.** S-velocity tomograms inverted using the WD methods (a) without and (b) with layer stripping; (c) the P-velocity tomogram.

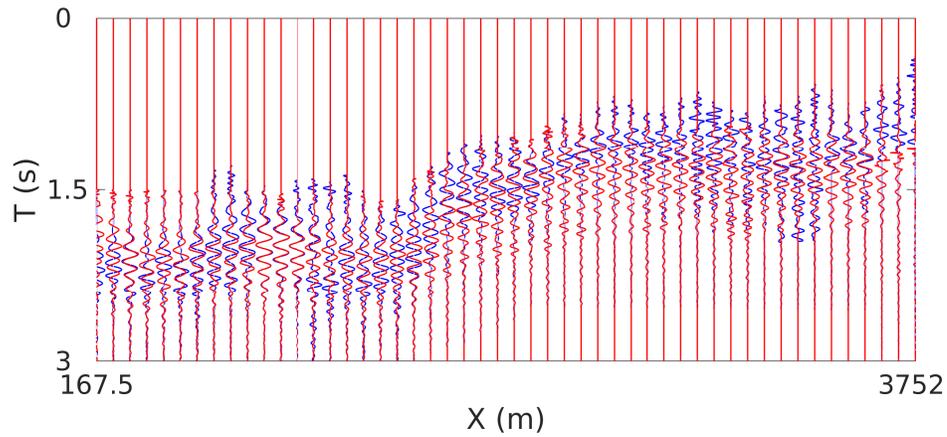
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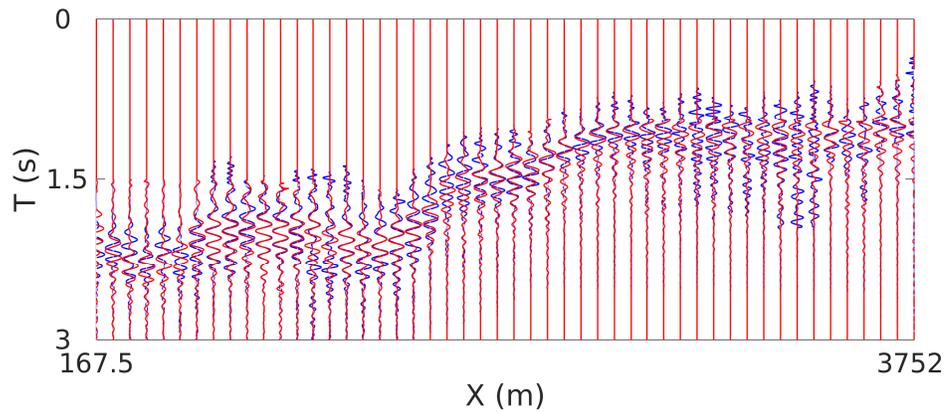
**Figure 21.** Observed dispersion curves for (a)  $\theta = 0^\circ$  and (b)  $\theta = 180^\circ$ , where the black dashed lines, the cyan lines and the red dash-dot lines represent the contours of the observed dispersion curves, the predicted dispersion curves obtained without and with layer stripping, respectively.



**Figure 22.** Comparison between the observed (red) and synthetic (blue) traces from the S-velocity tomogram without (LHS panels) and with (RHS panels) layer-stripping methods for CSG for shot No. 20 in (a) and (b), and CSG for shot No. 30 in (c) and (d).

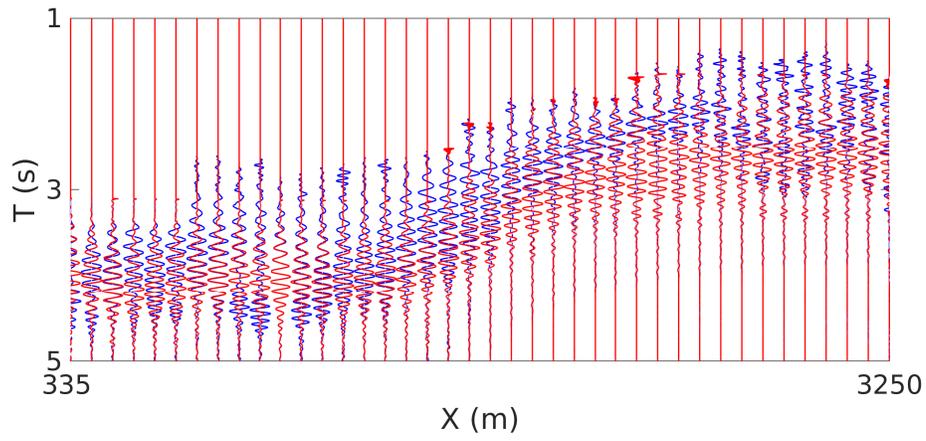
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(a) COG with Offset of 335 m

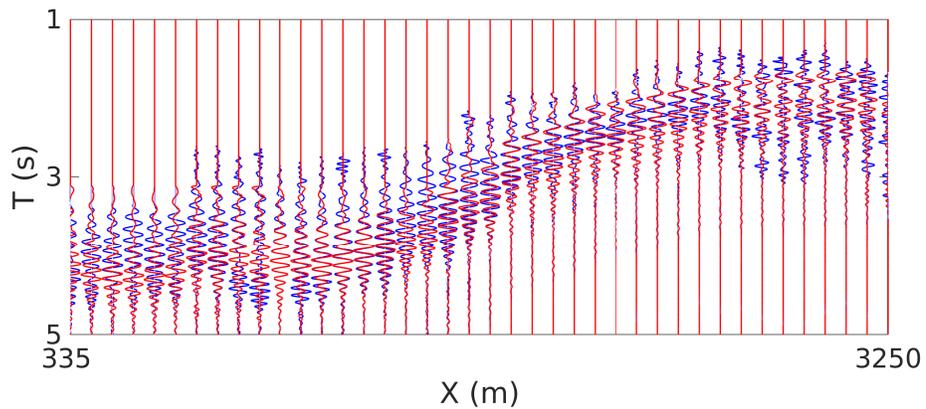


(b) COG with Offset of 335 m

**Figure 23.** Comparison between the observed (blue) and synthetic (red) common-offset gathers (COGs) with the offset of 335 m from the S-velocity tomogram without (a) and with (b) layer-stripping method.



(a) COG with Offset of 670 m



(b) COG with Offset 670 m

Figure 24. Same as Fig. 23 except the offset is 670 m.

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8 <sup>348</sup> the dispersion curves; the maximum offset are determined by  $R = 3.5\lambda_{max}$ ; the depth range  
9 <sup>349</sup> is calculated using half of the wavelength range with a taper of 0.2 m at both ends.  
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**Table 1.** Eleven frequency bands used for MSLSWD, where the wavelength  $\lambda$  is estimated from the dispersion curves; the maximum offset are determined by  $R = 3.5\lambda_{max}$ ; the depth range is calculated using half of the wavelength range with a taper of 0.2 m at both ends.

No.	Freq. Band (Hz)	$\lambda$ Range (m)	Max. Offset (m)	Depth Range (m)	Misfit Change
1	90-110	1.4-1.9	7	0-1.0	1→0.148
2	70-90	1.9-2.4	9	1-1.4	1→0.4
3	60-70	2.4-2.9	11	1.4-1.6	1→0.1
4	50-60	2.9-3.6	13	1.6-2.0	1→0.13
5	40-50	3.6-4.7	17	2.0-2.6	1→0.125
6	35-40	4.7-5.45	21	2.6-3.0	1→0.1
7	30-35	5.45-6.6	24	3.0-3.6	1→0.17
8	25-30	6.6-8.26	30	3.6-4.2	1→0.25
9	20-25	8.26-10.87	37	4.2-5.2	1→0.35
10	15-20	10.87-15.74	46	5.2-7.4	1→0.7
11	10-15	15.74-33	60	7.4-12	1→0.72